

CSCE 689: Special Topics in Trustworthy NLP

Lecture 4: Natural Language Processing Basics (3)

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(Some slides adapted from Chris Manning, Dan Jurafsky, Danqi Chen, and Vivian Chen)

Presentation Sign-Up

- We have 10 students
 - Each student present **two** papers

W13	11/11	Robustness of Multimodal Models	[Instructor] Learning Transferable Visual Models From Natural Language Supervision, ICML 2021 [Instructor] BLIP: Bootstrapping Language-Image Pre-training for Unified Vision-Language Understanding and Generation, ICML 2022 [Instructor] Visual Instruction Tuning, NeurIPS 2023	Summary Due
	11/13	Robustness of Multimodal Models (Remote)	[Instructor] When and why vision-language models behave like bags-of-words, and what to do about it?, ICLR 2023 [Instructor] Text encoders bottleneck compositionality in contrastive vision-language models, EMNLP 2023 [Instructor] Paxion: Patching Action Knowledge in Video-Language Foundation Models, NeurIPS 2023	
	11/15	Robustness of Multimodal Models	[Student] Robust CLIP: Unsupervised Adversarial Fine-Tuning of Vision Embeddings for Robust Large Vision-Language Models, ICML 2024 [Student] On the Robustness of Large Multimodal Models Against Image Adversarial Attacks, CVPR 2024	
W14	11/18	Robustness of Multimodal Models	[Student] CleanCLIP: Mitigating Data Poisoning Attacks in Multimodal Contrastive Learning, ICCV 2023 [Student] Eyes Wide Shut? Exploring the Visual Shortcomings of Multimodal LLMs, CVPR 2024	

Presentation Sign-Up

- Sign-up: <https://tinyurl.com/34e27fjx>
 - Deadline: Friday 8/30 before lecture
 - We will decide the assignment during lecture on 8/30

Week	Date	Topic	Paper ID	Paper Title	Name	Preference 1	Preference 2	Preference 3	Preference 4	Preference 5	Preference 6	Preference 7	Preference 8
4	9/13	Adversarial Attacks and Defenses	1	Adversarial Example Generation with Syntactically Controlled Paraphrase Networks, NAACL 2018	Agrawal, Saransh								
4	9/13	Adversarial Attacks and Defenses	2	Jailbreaking Black Box Large Language Models in Twenty Queries, arXiv 2023	Baid, Rahul								
5	9/20	Backdoor Attacks and Data Poisoning	3	Poison Attacks against Text Datasets with Conditional Adversarially Regularized Autoencoder, EMNLP-Findings 2020	Bajaj, Divij								
5	9/20	Backdoor Attacks and Data Poisoning	4	RAP: Robustness-Aware Perturbations for Defending against Backdoor Attacks on NLP Models, EMNLP 2021	Balasubramanian, Sriram								
6	9/27	AI-Generated Text Detection	5	RADAR: Robust AI-Text Detection via Adversarial Learning, NeurIPS 2023	Harden, Dylan								
6	9/27	AI-Generated Text Detection	6	Paraphrasing Evades Detectors of AI-Generated Text, But Retrieval is An Effective Defense, NeurIPS 2023	Hu, Chan-Wei								
7	10/4	Model Uncertainty	7	Semantic Uncertainty: Linguistic Invariances for Uncertainty Estimation in Natural Language Generation, ICLR 2023	Lee, Jaehoon								
7	10/4	Model Uncertainty	8	Decomposing Uncertainty for Large Language Models through Input Clarification Ensembling, ICML 2024	Liu, Junru								
9	10/18	Model Explainability and Interpretability	9	Reframing Human-AI Collaboration for Generating Free-Text Explanations, NAACL 2022	Rajagopalan, Vicram								
9	10/18	Model Explainability and Interpretability	10	Self-Consistency Improves Chain of Thought Reasoning in Large Language Models, ICLR 2023	Samudra, Arunim Chaitanya								
10	10/25	Bias Detection and Mitigation	11	Null It Out: Guarding Protected Attributes by Iterative Nullspace	Please fill in paper IDs								
10	10/25	Bias Detection and Mitigation	12	From Pretraining Data to Language Models to Downstream Task									
11	11/1	Human Preference Alignment	13	SmPO: Simple Preference Optimization with a Reference-Free									
11	11/1	Human Preference Alignment	14	Self-Play Fine-Tuning Converts Weak Language Models to Strong									
12	11/8	Hallucinations and Misinformation Detection	15	SAC3: Reliable Hallucination Detection in Black-Box Language									
12	11/8	Hallucinations and Misinformation Detection	16	Characterizing Truthfulness in Large Language Model Generatio									
13	11/15	Robustness of Multimodal Models	17	Robust CLIP: Unsupervised Adversarial Fine-Tuning of Vision E									
13	11/15	Robustness of Multimodal Models	18	On the Robustness of Large Multimodal Models Against Image A									
14	11/18	Robustness of Multimodal Models	19	CleanCLIP: Mitigating Data Poisoning Attacks in Multimodal Co									
14	11/18	Robustness of Multimodal Models	20	Eyes Wide Shut? Exploring the Visual Shortcomings of Multimod									

Lecture Plan

- Natural Language Processing Basics
- Tokenization
 - Byte-Pair Encoding
- Common NLP Models
 - Convolutional Neural Network (CNN)
 - Recurrent Neural Network (RNN)
 - Long Short-Term Memory (LSTM)

Recap: Tokenization

- Currently, we use word (and punctuation) as the basic unit to tokenize a text
 - I like this movie so much. → I + like + this + movie + so + much + .

What is the size of word embeddings (how many words)?

Recap: Unknown Token

- We create an **unknown token** for all the words that have never been seen or low frequency words
 - <UNK>
- <UNK> has its own embedding
 - I like this movie &*# so much → I + like + this + movie + <UNK> + so + much + .
 - I like this movie sooooo much. → I + like + this + movie + <UNK> + much + .
- We can reduce the size of vocabulary
- We can handle unseen words

Recap: Subword Tokenization

- We use **subword (and punctuation)** as the basic unit to **tokenize** a text
- Subword: parts of words
 - happy, happier, happiest: happ-, -y, -ier, -iest
 - drive, driving, driven: driv-, -e, -ing, -en
 - beautiful, trustful, grateful: -ful

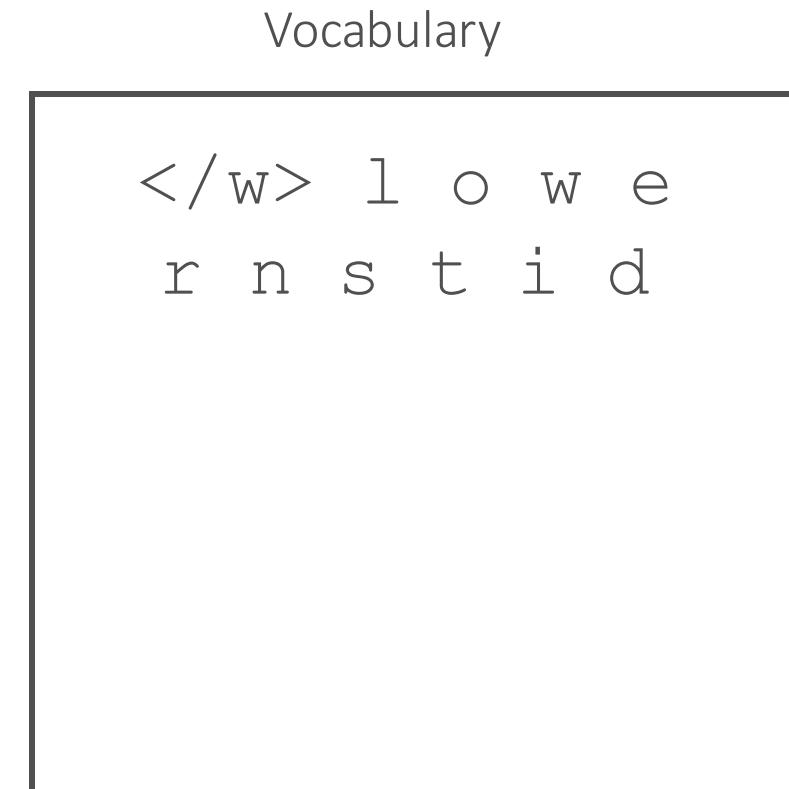
Byte-Pair Encoding

- Byte-Pair Encoding (BPE) is a simple method to decide subword
 - Originally designed for compression
 - Use fewer subwords to cover more words
- Motivation: discover the most common pair of consecutive bytes of data
 - Start with a vocabulary containing only characters and a “end-of-word” symbol
 - Find the most common pair of adjacent characters “x” and “y”; add subword “xy” to the vocabulary
 - Replace instances of the character pair with the new subword; repeat until desired vocabulary size

Byte-Pair Encoding Example

- Start with a vocabulary containing only characters and a “end-of-word” symbol

End-of-word symbol	
l o w	</w>
l o w e r	</w>
n e w e s t	</w>
w i d e s t	</w>



Byte-Pair Encoding Example

- Find the most common pair of adjacent characters “x” and “y”; add subword “xy” to the vocabulary

7 times

l o w </w>	5 times
l o w e r </w>	2 times
n e w e s t </w>	6 times
w i d e s t </w>	3 times

9 times 9 times

Vocabulary

</w> l o w e
r n s t i d
es

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

l o w </w>	5 times
l o w e r </w>	2 times
n e w es t </w>	6 times
w i d es t </w>	3 times

Vocabulary

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n e w est</w>

w i d est</w>

5 times

2 times

6 times

3 times

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

lo w </w>	5 times
lo w e r </w>	2 times
n e w est</w>	6 times
w i d est</w>	3 times

Vocabulary

```
</w> l o w e  
r n s t i d  
es est est</w>  
lo
```

Byte-Pair Encoding Example

- Find the most common pair of adjacent characters “x” and “y”; add subword “xy” to the vocabulary

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lo w </w>

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w i d est</w>

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Vocabulary

</w> l o w e
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lo low

Byte-Pair Encoding Example

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Vocabulary

```
</w> l o w e  
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lo low ne
```

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

low </w>	5 times
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Vocabulary

```
</w> l o w e  
r n s t i d  
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```

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6 times ne w est</w>	6 times
w i d est</w>	3 times

Vocabulary

```
</w> l o w e  
r n s t i d  
es est est</w>  
lo low ne new
```

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

low </w>	5 times
low e r </w>	2 times
new est</w>	6 times
w i d est</w>	3 times

Vocabulary

```
</w> l o w e  
r n s t i d  
es est est</w>  
lo low ne new
```

Byte-Pair Encoding Example

- Find the most common pair of adjacent characters “x” and “y”; add subword “xy” to the vocabulary

low </w>	5 times
low e r </w>	2 times
6 times new est</w>	6 times
w i d est</w>	3 times

Vocabulary

```
</w> l o w e  
r n s t i d  
es est est</w>  
lo low ne new  
newest</w>
```

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

low </w>	5 times
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newest</w>	6 times
w i d est</w>	3 times

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo low ne new
newest</w>

Byte-Pair Encoding Example

- Find the most common pair of adjacent characters “x” and “y”; add subword “xy” to the vocabulary

5 times

low </w>

low e r </w>

newest</w>

w i d est</w>

5 times

2 times

6 times

3 times

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo low ne new
newest</w>
low</w>

Byte-Pair Encoding Example

- Replace instances of the character pair with the new subword

low</w>

low e r </w>

newest</w>

w i d est</w>

5 times

2 times

6 times

3 times

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo low ne new
newest</w>
low</w>

Byte-Pair Encoding Example

MERGES

e + s => es

es + t => est

est + </w> => est</w>

l + o => lo

lo + w => low

n + e => ne

ne + w => new

new + est</w> => newest</w>

low + </w> => low</w>

Vocabulary

```
</w> l o w e  
r n s t i d  
es est est</w>  
lo low ne new  
newest</w>  
low</w>
```

Byte-Pair Encoding Example

MERGES

e + s => es

es + t => est

est + </w> => est</w>

l + o => lo

lo + w => low

n + e => ne

ne + w => new

new + est</w> => newest</w>

low + </w> => low</w>

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo low ne new
newest</w>
low</w>

New unseen token: lowest → low est</w>

Byte-Pair Encoding Example

MERGES

e + s => es

es + t => est

est + </w> => est</w>

l + o => lo

lo + w => low

n + e => ne

ne + w => new

new + est</w> => newest</w>

low + </w> => low</w>

Vocabulary

</w> l o w e
r n s t i d
es est est</w>
lo low ne new
newest</w>
low</w>

New unseen token: powest → <UNK> o w est</w>

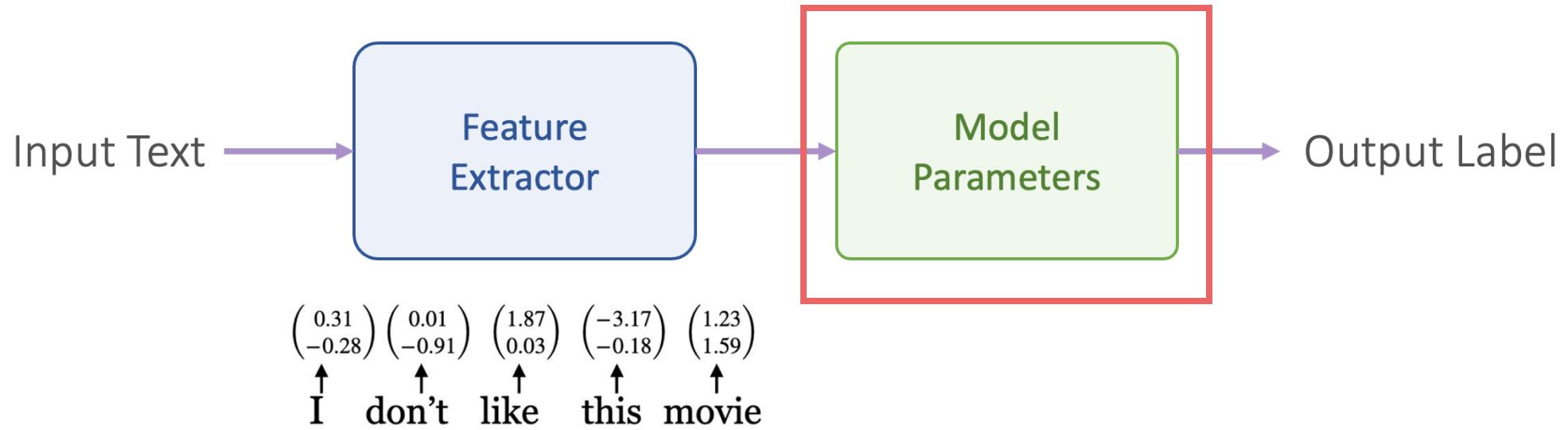
Subword Tokenization

- We use subword (and punctuation) as the basic unit to tokenize a text
- Subword: parts of words
 - happy, happier, happiest: happ-, -y, -ier, -iest
 - drive, driving, driven: driv-, -e, -ing, -en
 - beautiful, trustful, grateful: -ful
- A more effective way to construct vocabulary

Lecture Plan

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 - Long Short-Term Memory (LSTM)

Training NLP Models



Input Lengths can be Different

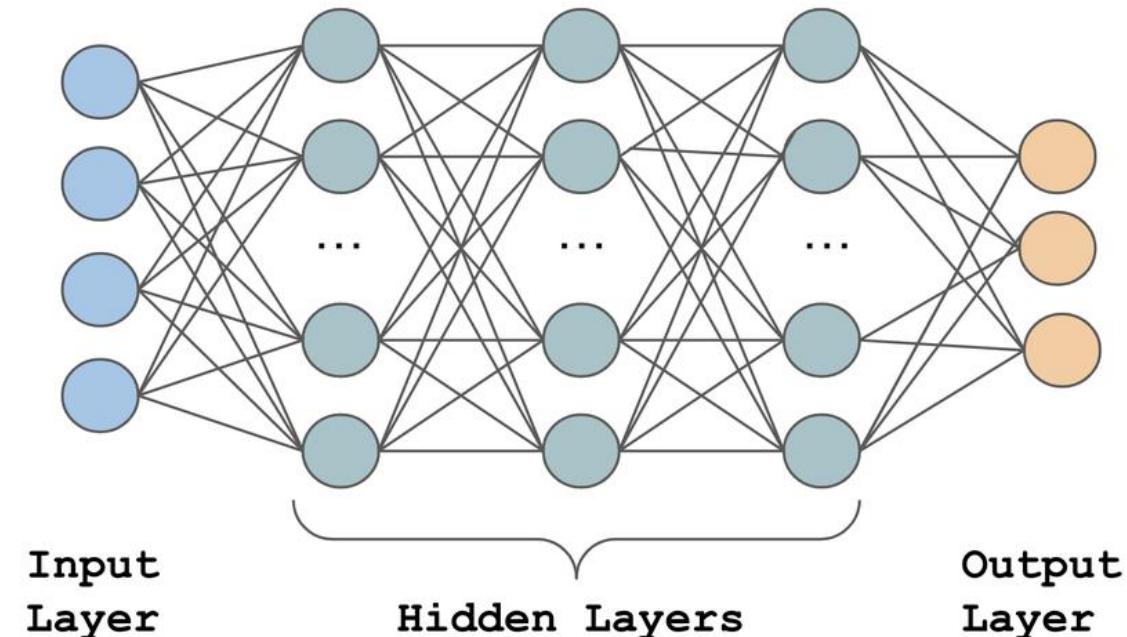
$$\begin{pmatrix} 0.31 \\ -0.28 \end{pmatrix} \begin{pmatrix} 0.01 \\ -0.91 \end{pmatrix} \begin{pmatrix} 1.87 \\ 0.03 \end{pmatrix} \begin{pmatrix} -3.17 \\ -0.18 \end{pmatrix} \begin{pmatrix} 1.23 \\ 1.59 \end{pmatrix}$$

↑ ↑ ↑ ↑ ↑
I don't like this movie

A Simple Approach: Averaged Embeddings + DNN

	Alice	treats	Bob	well
Dimension 1	0.7	2.7	-0.1	-5.7
Dimension 2	8.6	-3.9	6.7	-9.8
Dimension 3	-2.4	-5.6	1.5	-1.6
Dimension 4	2.3	1.1	2.0	-1.0

-0.6
0.4
-1.6
1.1

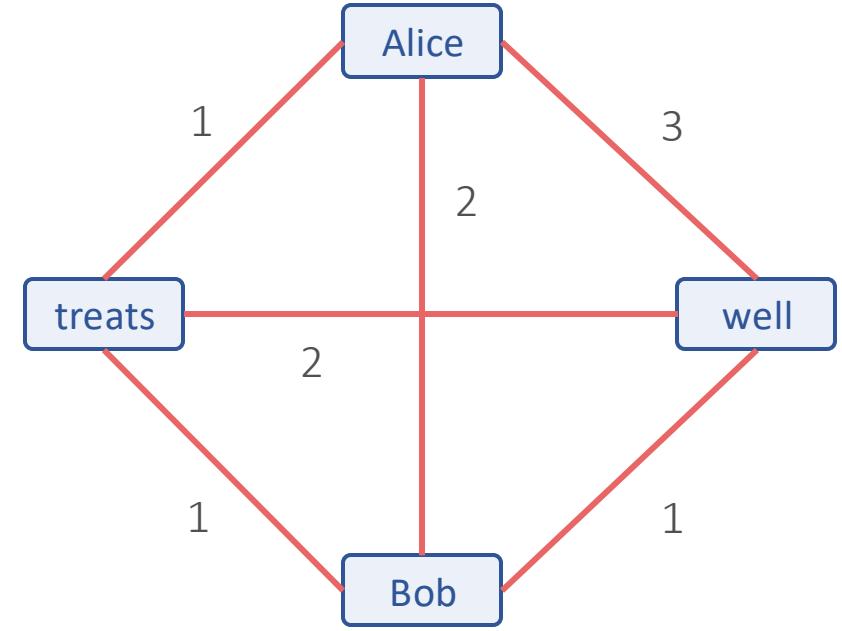


Disadvantages?

$$\text{Loss} = - \sum_{i=1}^C y_i \cdot \log(p_i)$$

Averaged Embeddings Lose Order Information

	Alice	treats	Bob	well	
	Bob	treats	Alice	well	
	treats	Bob	well	Alice	
Dimension 1	0.7	2.7	-0.1	-5.7	-0.6
Dimension 2	8.6	-3.9	6.7	-9.8	0.4
Dimension 3	-2.4	-5.6	1.5	-1.6	-1.6
Dimension 4	2.3	1.1	2.0	-1.0	1.1



Capture local information!
(neighbor information)

Convolutional Neural Network (CNN)

Learnable Weight (Filter)
Filter Size = 3

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$



v_1

Convolutional Neural Network (CNN)

Learnable Weight (Filter)
Filter Size = 3

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$



Convolutional Neural Network (CNN)

Learnable Weight (Filter)
Filter Size = 3

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$

Alice treats Bob well so Bob thinks

Dimension 1 0.7 2.7 -0.1 -5.7 $v_{1,1}$ $v_{2,1}$ $v_{3,1}$ $v_{4,1}$ $v_{5,1}$

+

+

+

+

+

Dimension 2 8.6 -3.9 6.7 -9.8 $v_{1,2}$ $v_{2,2}$ $v_{3,2}$ $v_{4,2}$ $v_{5,2}$

+

+

+

+

+

Dimension 3 -2.4 -5.6 1.5 -1.6 $v_{1,3}$ $v_{2,3}$ $v_{3,3}$ $v_{4,3}$ $v_{5,3}$

+

+

+

+

+

Dimension 4 2.3 1.1 2.0 -1.0 $v_{1,4}$ $v_{2,4}$ $v_{3,4}$ $v_{4,4}$ $v_{5,4}$

+

+

+

+

+

Max Pooling

v

v_1 v_2 v_3 v_4 v_5

Convolutional Neural Network (CNN)

Learnable Weight (Filter)
Filter Size = 3

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$

$$\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$$

Alice treats Bob well

Dimension 1 0.7 2.7 -0.1 -5.7

Dimension 2 8.6 -3.9 6.7 -9.8

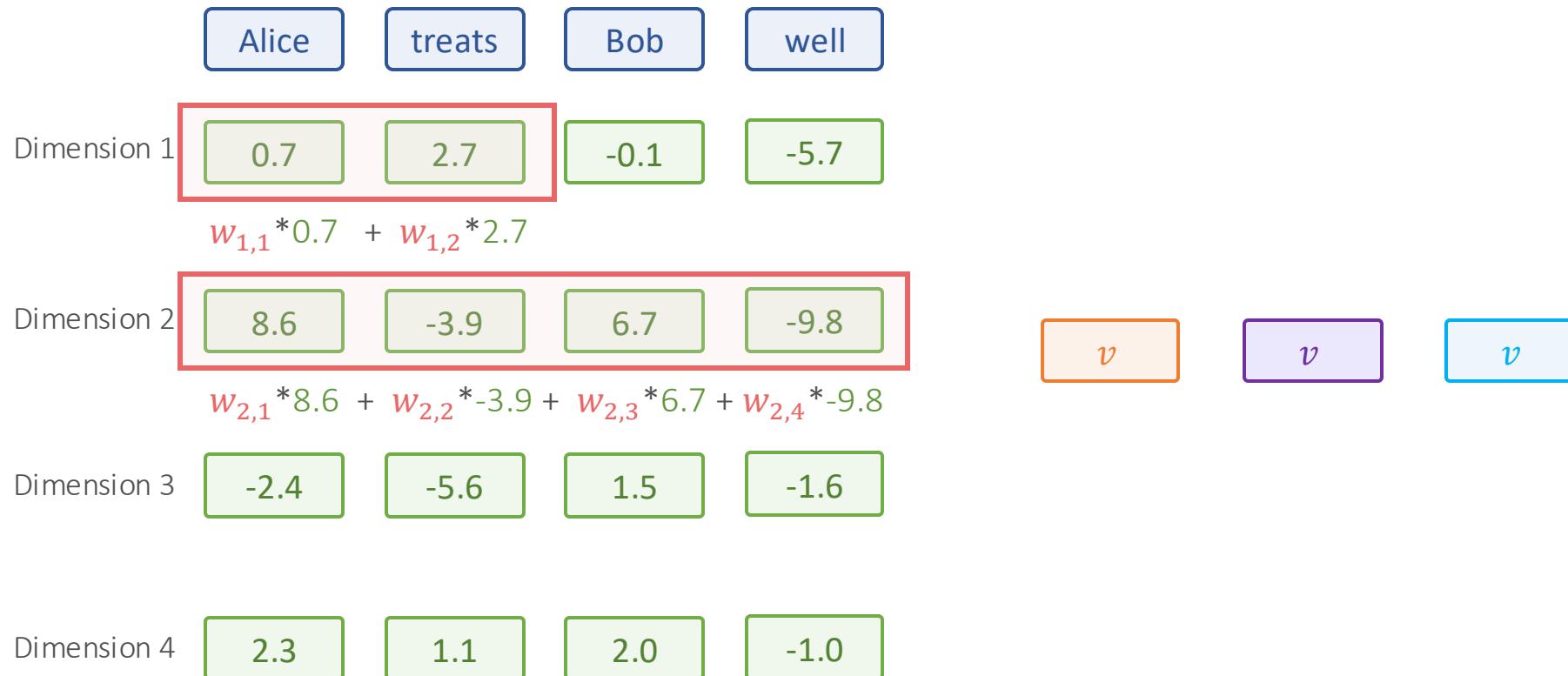
v v v

Dimension 3 -2.4 -5.6 1.5 -1.6

Dimension 4 2.3 1.1 2.0 -1.0

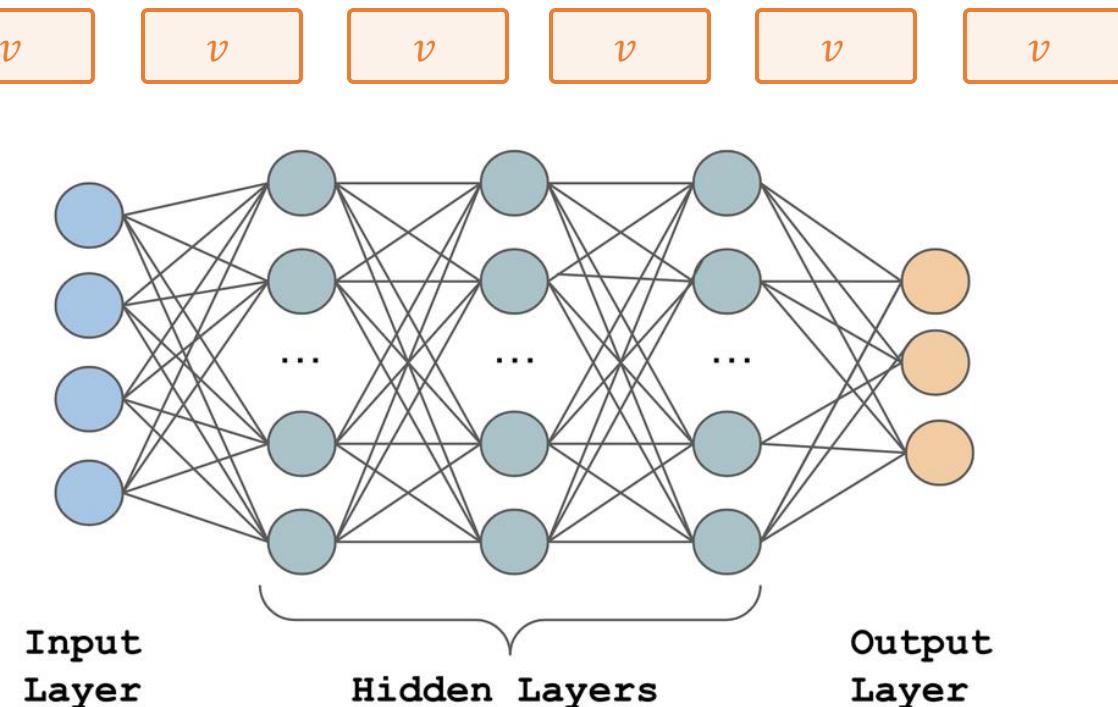
Convolutional Neural Network (CNN)

Filter Size = 3 $\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} & w_{1,3} \\ \dots & \dots & \dots \\ w_{4,1} & w_{4,2} & w_{4,3} \end{bmatrix}$ Filter Size = 2 $\mathbf{W} = \begin{bmatrix} w_{1,1} & w_{1,2} \\ \dots & \dots \\ w_{4,1} & w_{4,2} \end{bmatrix}$ Filter Size = 4 $\mathbf{W} = \begin{bmatrix} w_{1,1} & \dots & w_{1,4} \\ \dots & \dots & \dots \\ w_{4,1} & \dots & w_{4,4} \end{bmatrix}$



Convolutional Neural Network (CNN)

	Alice	treats	Bob	well	
Dimension 1	0.7	2.7	-0.1	-5.7	v
Dimension 2	8.6	-3.9	6.7	-9.8	v
Dimension 3	-2.4	-5.6	1.5	-1.6	v
Dimension 4	2.3	1.1	2.0	-1.0	v



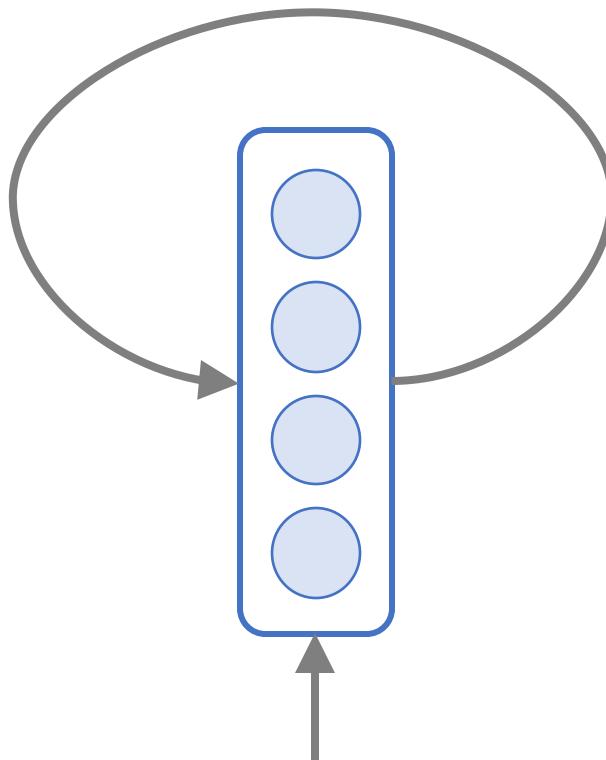
$$\text{Loss} = - \sum_{i=1}^C y_i \cdot \log(p_i)$$

Convolutional Neural Network (CNN)

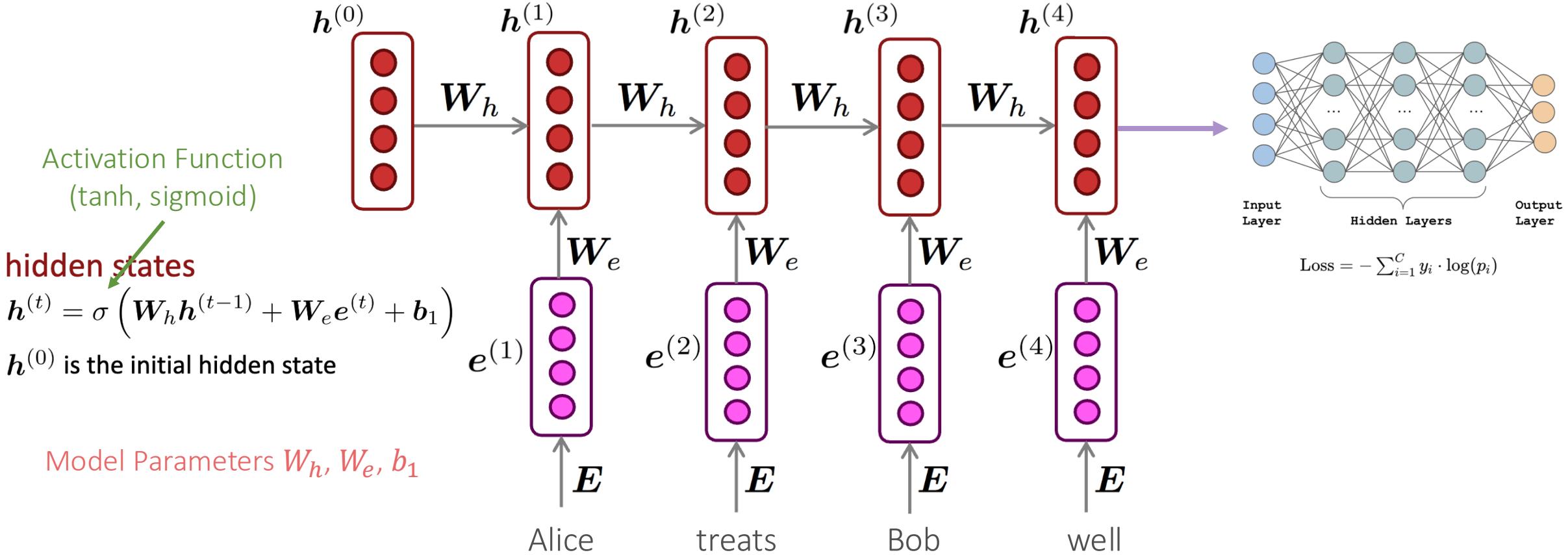
- The whole process is still not similar to how human read texts
- Can we model reading texts in a sequential way?

Recurrent Neural Network (RNN)

- More idea: apply the same weights repeatedly at different positions



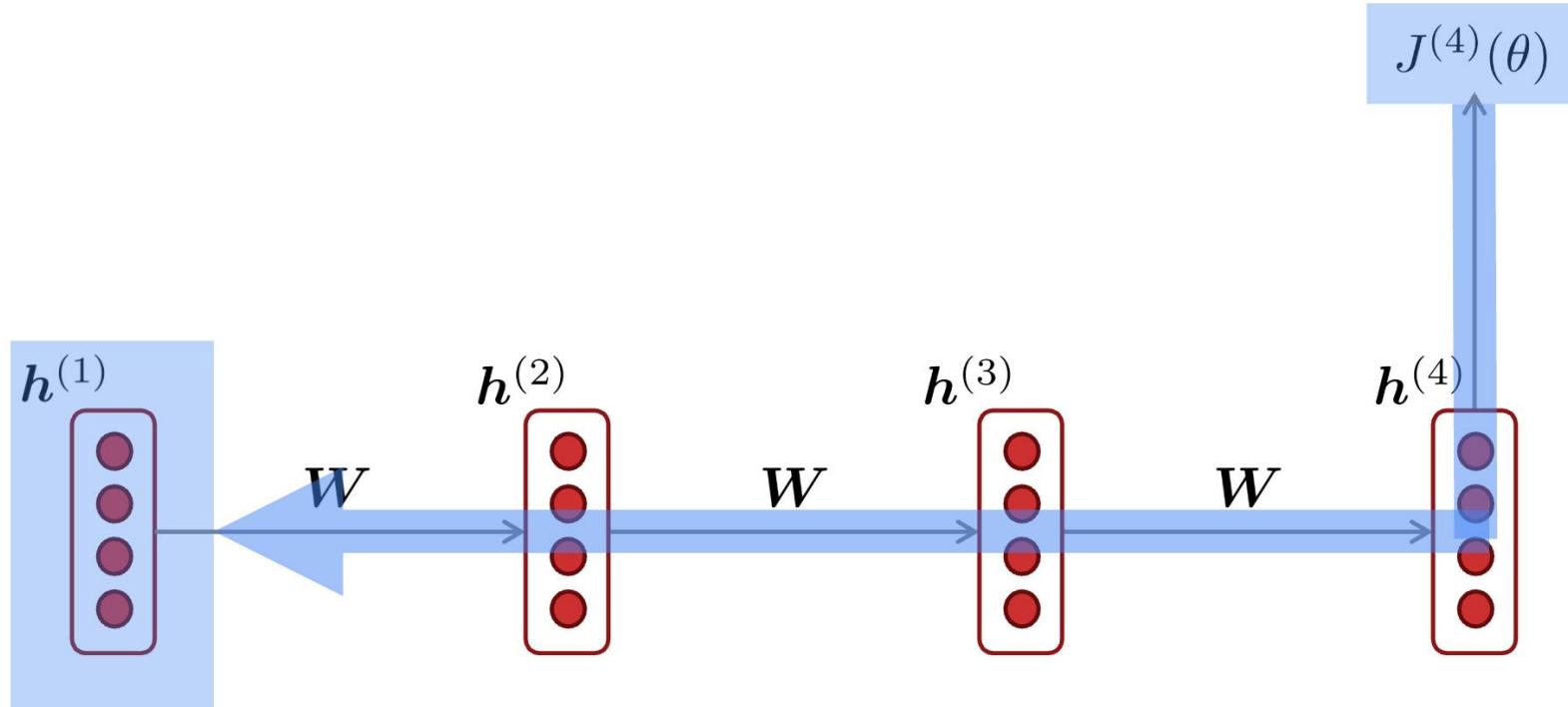
Recurrent Neural Network (RNN)



Recurrent Neural Network (RNN)

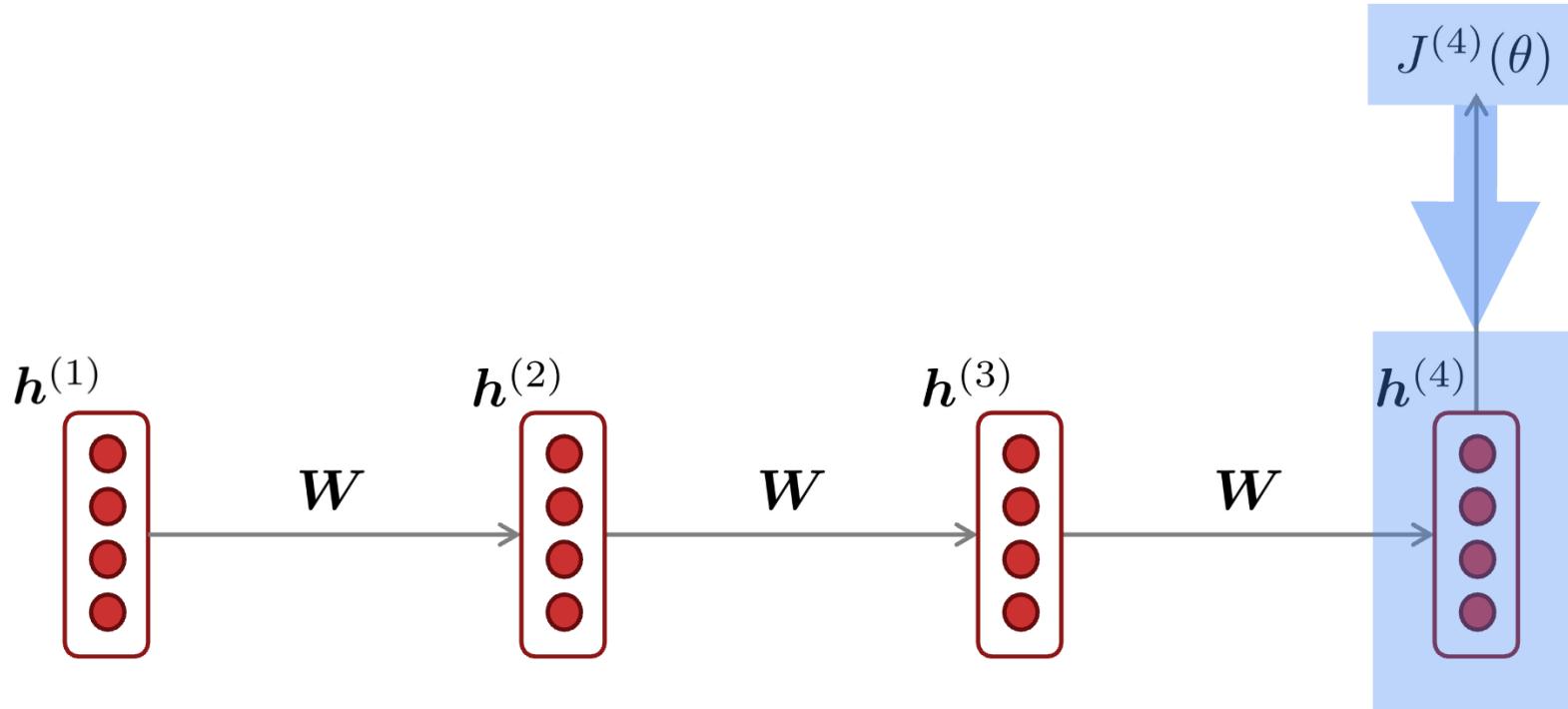
- Advantages
 - Can process any length input
 - Model size doesn't increase for longer input context
 - Computation for step t can (in theory) use information from many steps back
- Disadvantages
 - Recurrent computation is slow
 - In practice, difficult to access information from many steps back
 - Vanishing gradient

Vanishing Gradient



$$\frac{\partial J^{(4)}}{\partial h^{(1)}} = ?$$

Vanishing Gradient



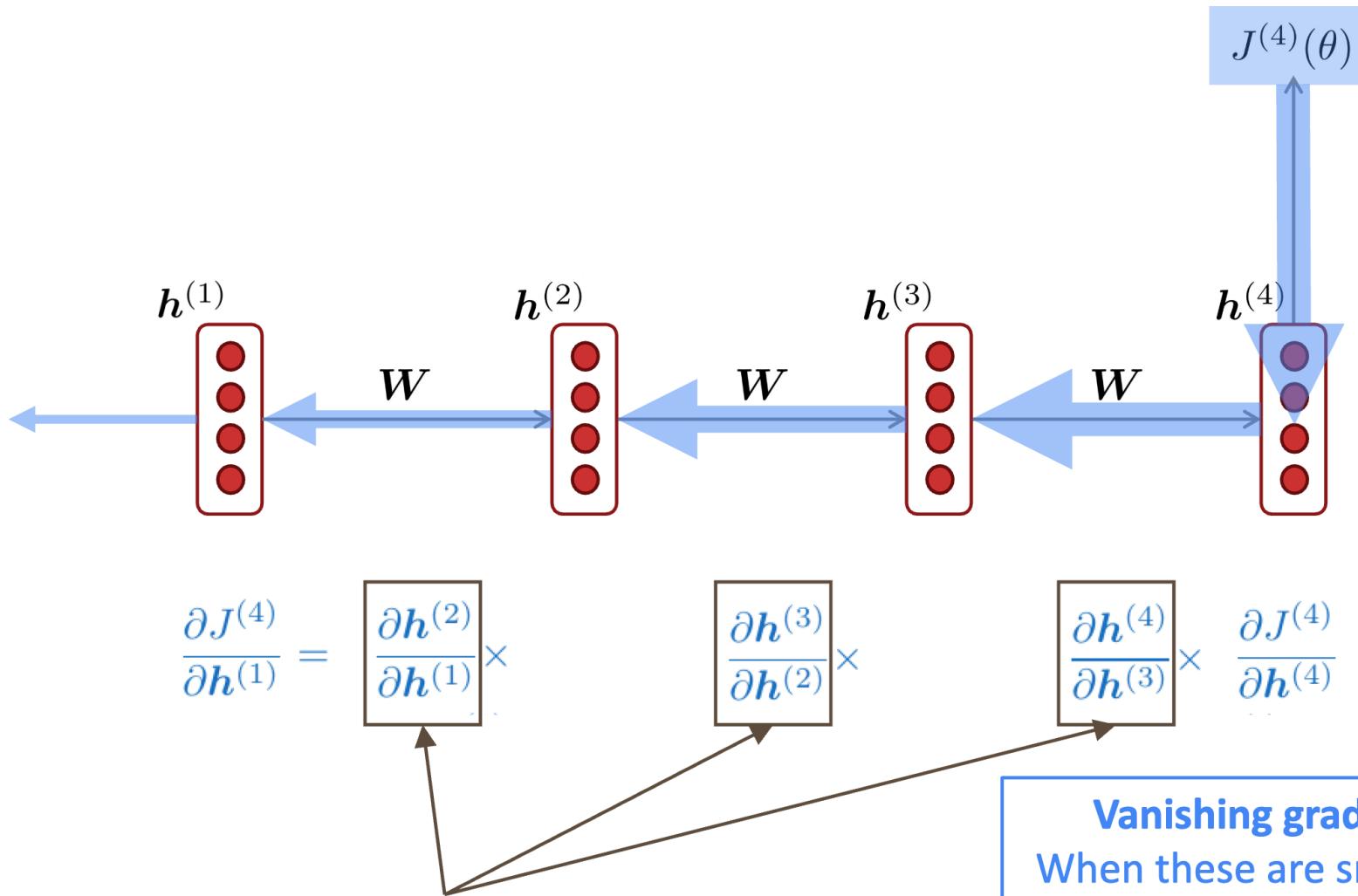
$$\frac{\partial J^{(4)}}{\partial h^{(1)}} = \frac{\partial h^{(2)}}{\partial h^{(1)}} \times$$

$$\frac{\partial h^{(3)}}{\partial h^{(2)}} \times$$

$$\frac{\partial h^{(4)}}{\partial h^{(3)}} \times \frac{\partial J^{(4)}}{\partial h^{(4)}}$$

chain rule!

Vanishing Gradient



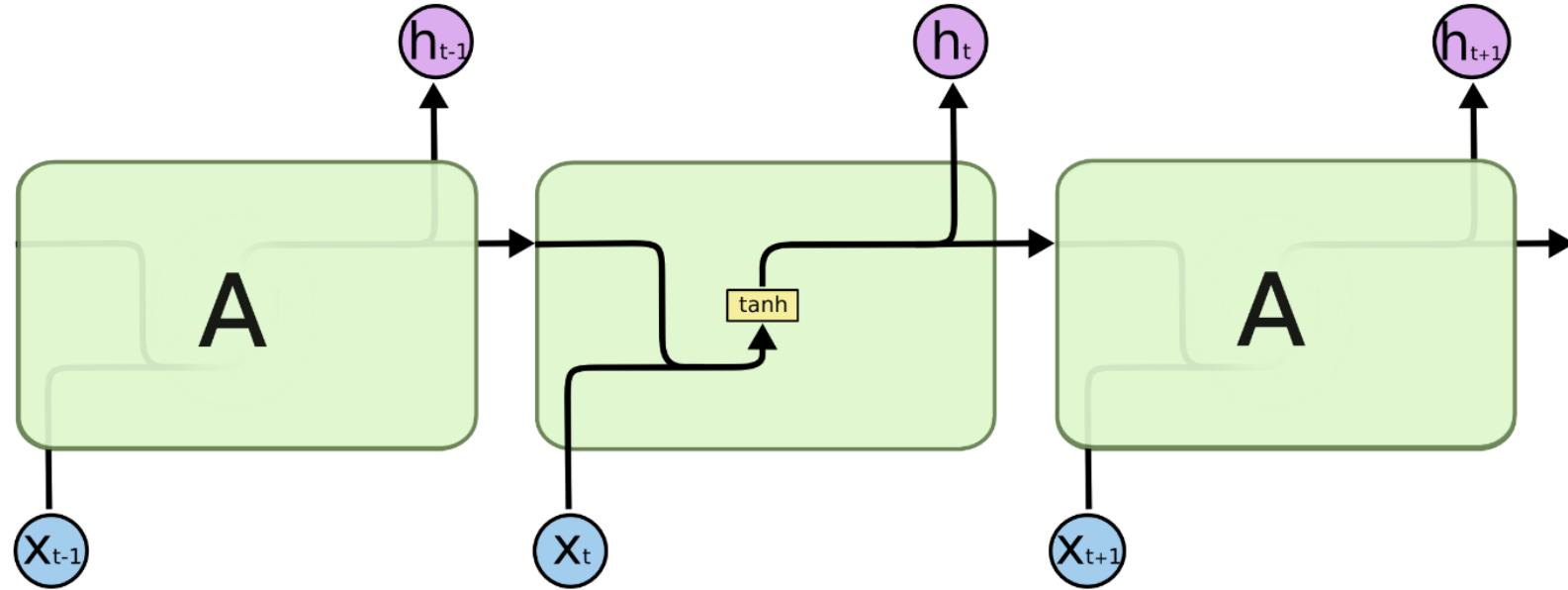
What happens if these are small?

Vanishing gradient problem:
When these are small, the gradient
signal gets smaller and smaller as it
backpropagates further

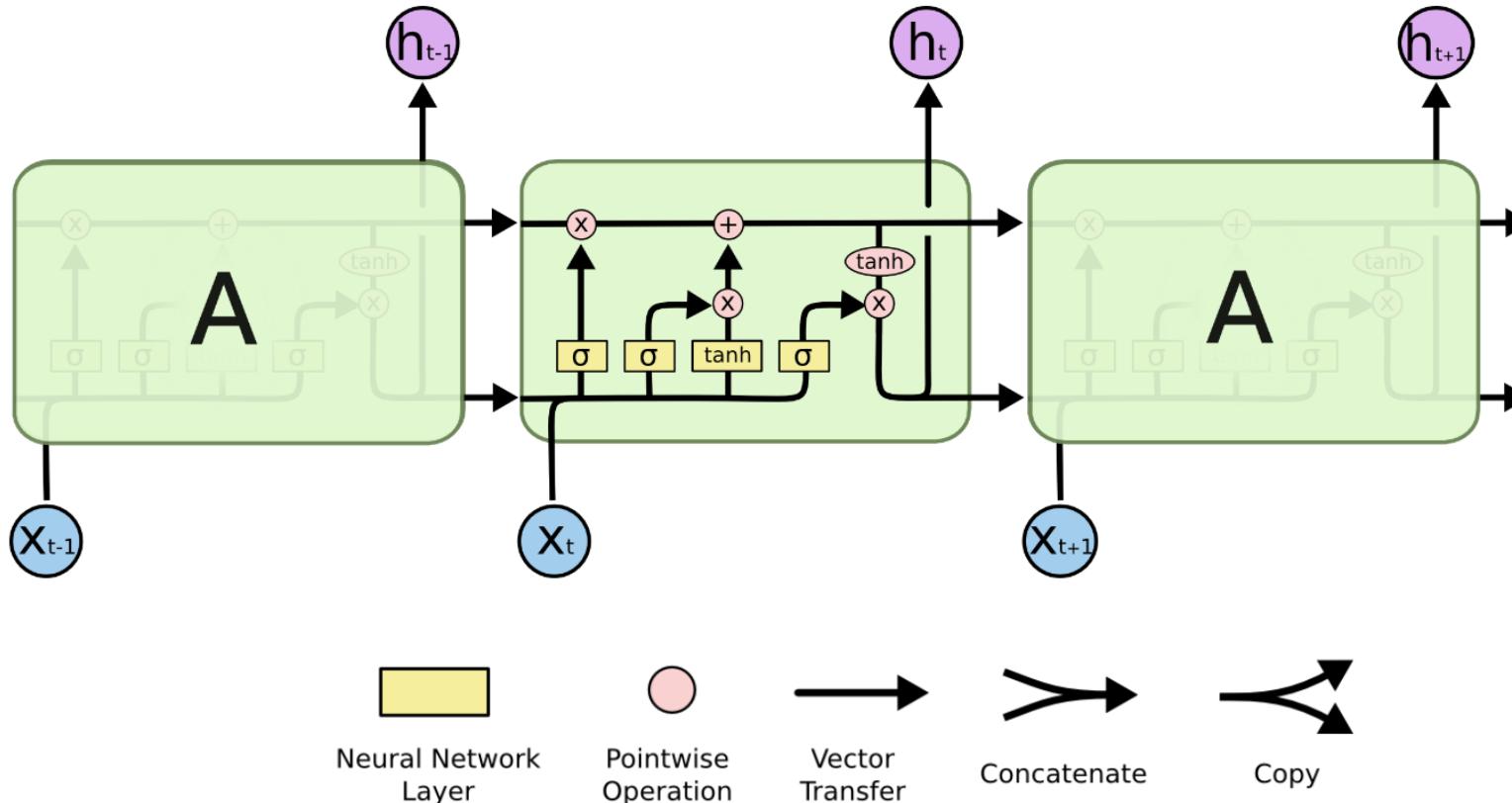
Long Short-Term Memory (LSTM)

- On step t , there is a **hidden state** $\mathbf{h}^{(t)}$ and a **cell state** $\mathbf{c}^{(t)}$
 - Both are vectors of length n
 - The cell stores **long-term information**
 - The LSTM can **read**, **erase**, and **write** information from the cell
- The selection of which information is erased/written/read is controlled by three corresponding **gates**
 - The gates are also vectors of length n
 - On each timestep, each element of the gates can be **open** (1), **closed** (0), or **somewhere in-between**
 - The gates are **dynamic**: their value is computed based on the current context

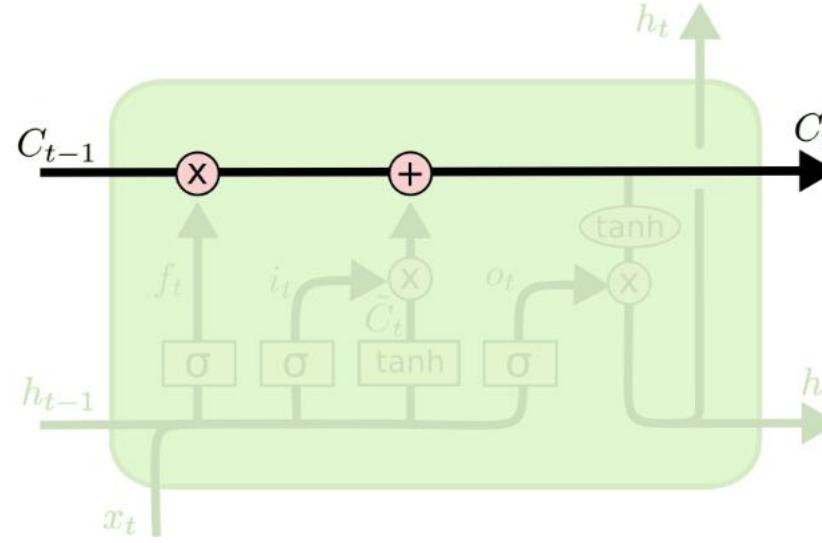
Long Short-Term Memory (LSTM)



Long Short-Term Memory (LSTM)

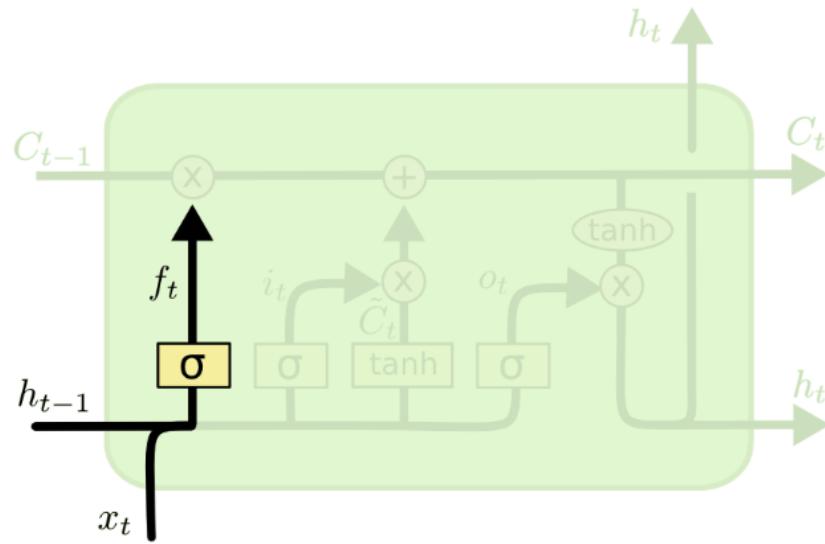


Long Short-Term Memory (LSTM)



The cell stores long-term information

Long Short-Term Memory (LSTM)

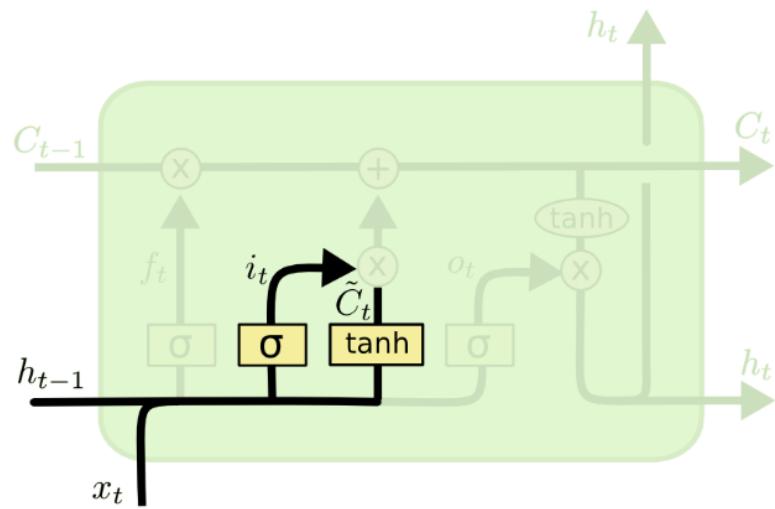


Sigmoid function: gate values are between 0 and 1

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

Decide how much we should **forget** for each dimension

Long Short-Term Memory (LSTM)



Sigmoid function: gate values are between 0 and 1

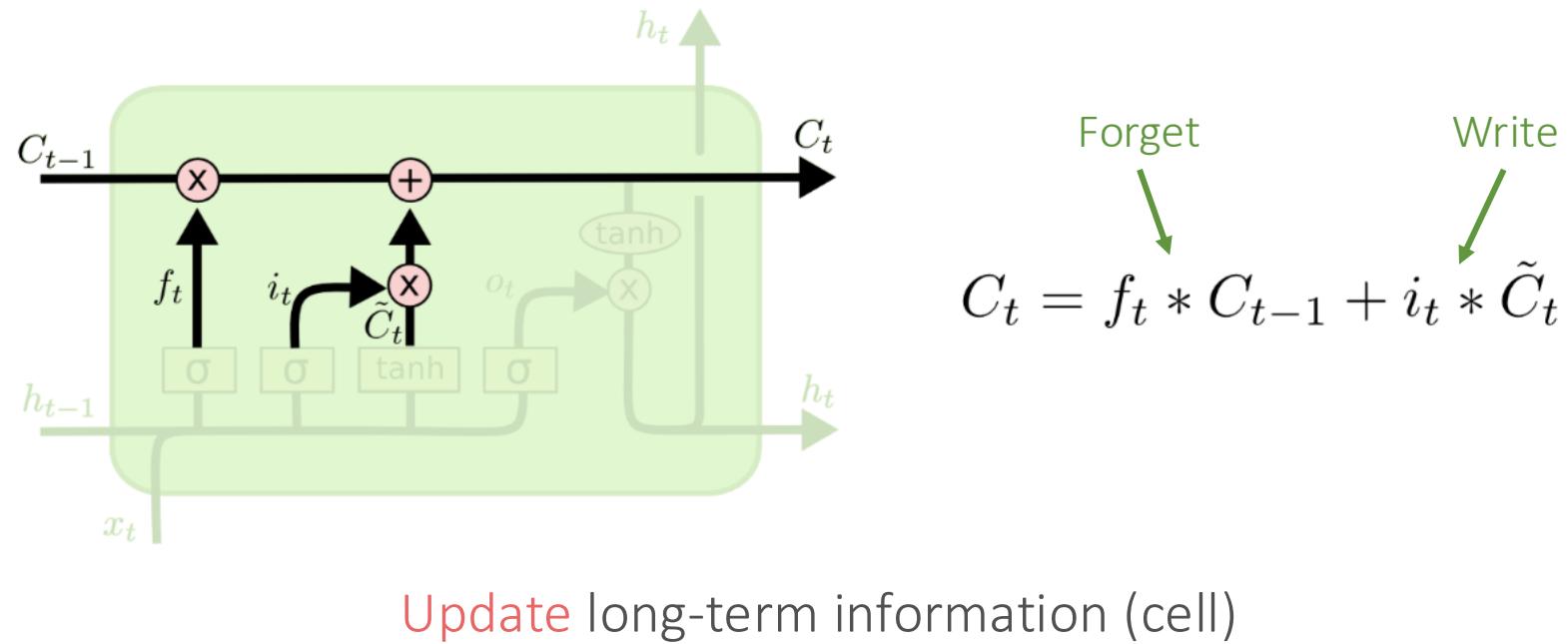
$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

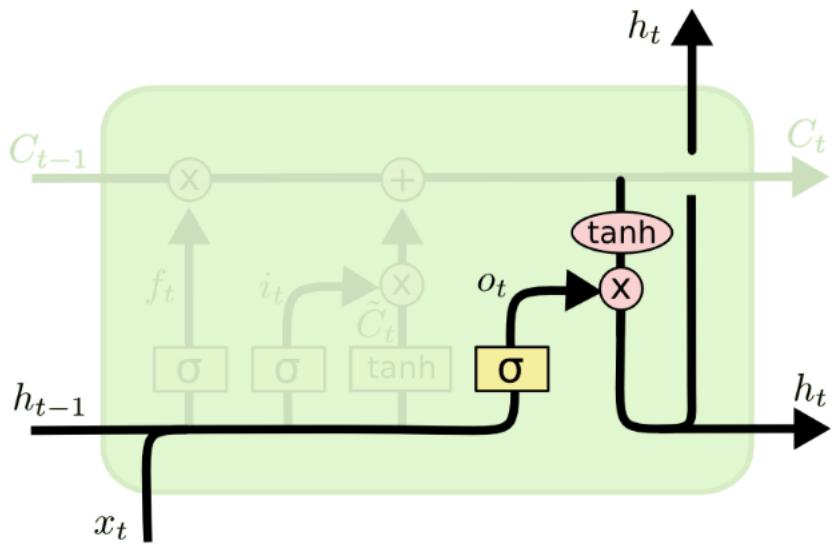
Decide how much we should **write** for each dimension

Decide what content we should **write**

Long Short-Term Memory (LSTM)



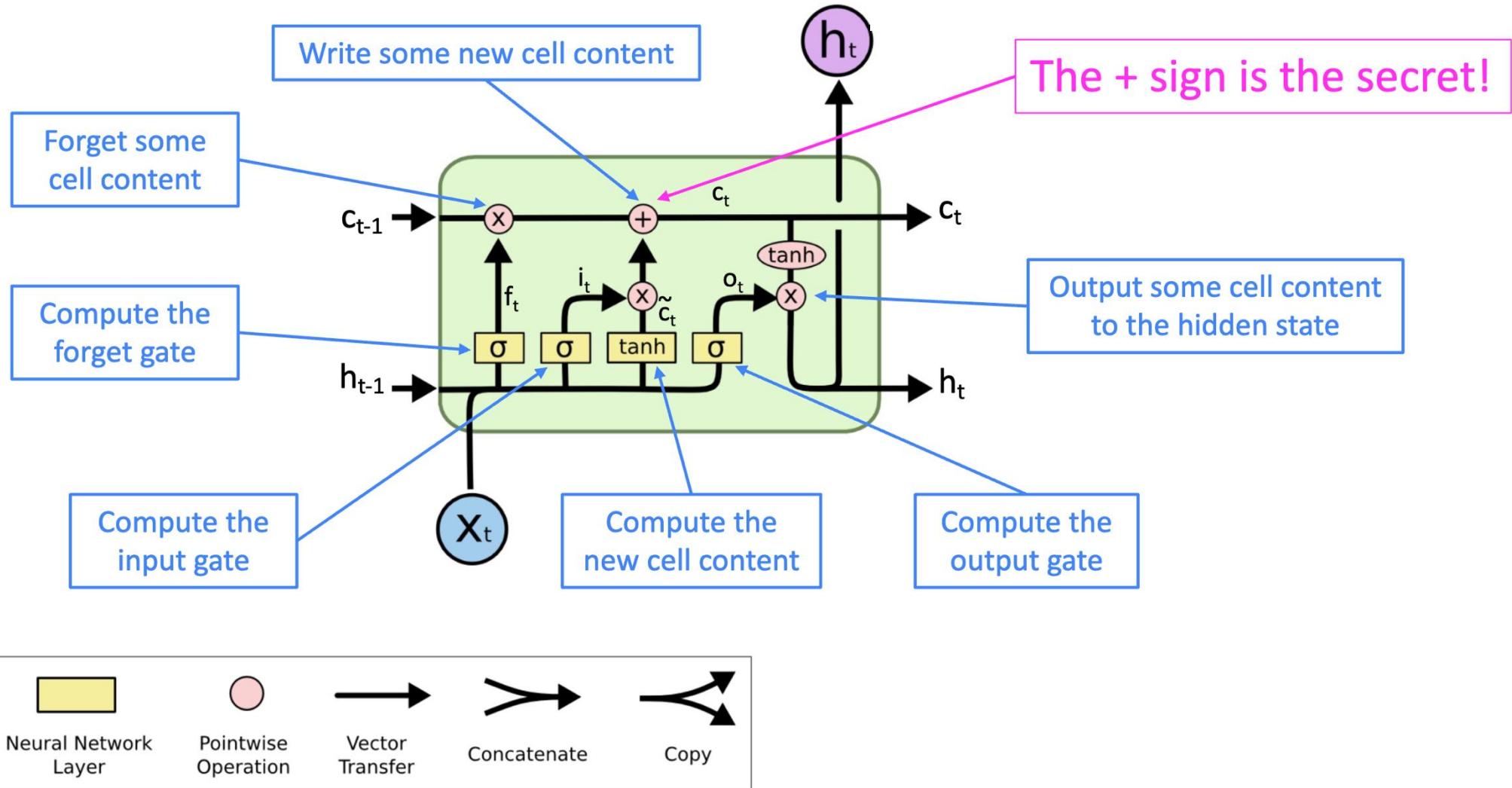
Long Short-Term Memory (LSTM)



$$h_t = o_t * \tanh(C_t)$$

Update hidden state

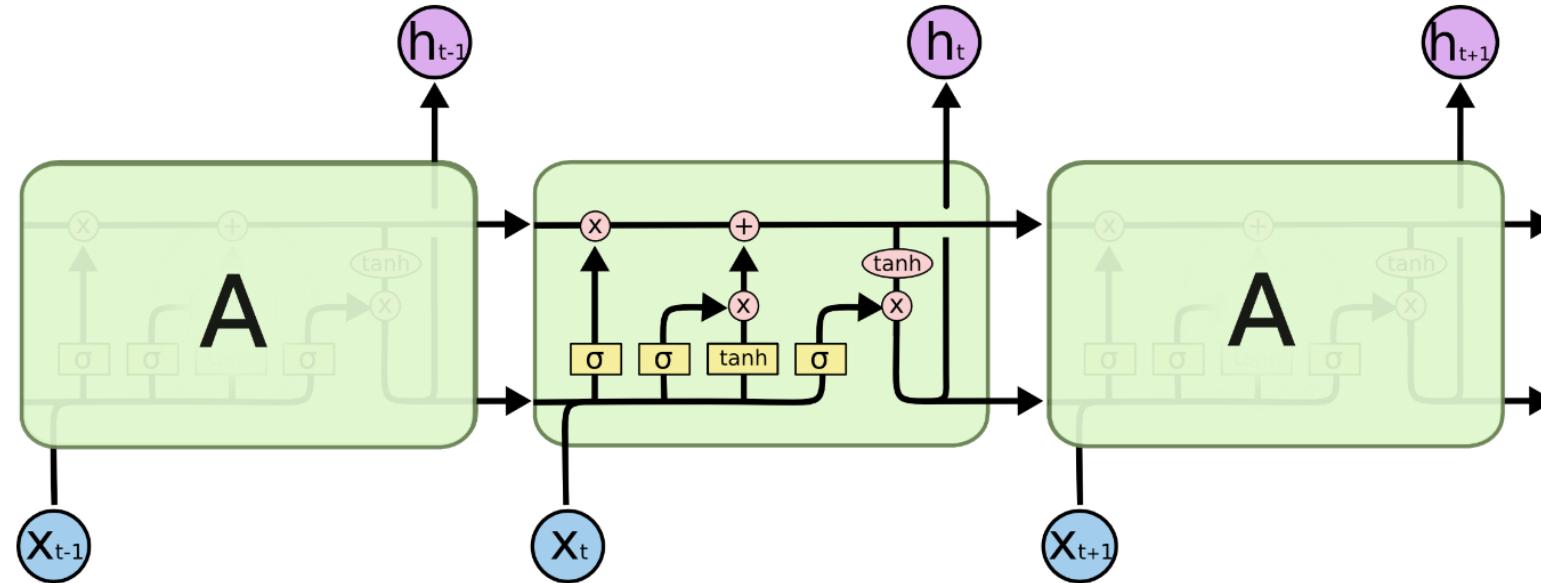
Long Short-Term Memory (LSTM)



Long Short-Term Memory (LSTM)

- How does LSTM solve vanishing gradients?
 - The LSTM architecture makes it much easier for an RNN to preserve information over many timesteps
 - e.g., if the forget gate is set to 1 for a cell dimension and the input gate set to 0, then the information of that cell is preserved indefinitely

Long Short-Term Memory (LSTM)



Neural Network
Layer



Pointwise
Operation



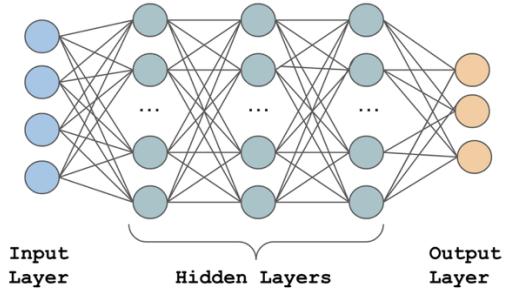
Vector
Transfer



Concatenate



Copy



$$\text{Loss} = - \sum_{i=1}^C y_i \cdot \log(p_i)$$

Next Lecture

- Natural Language Processing Basics
- Long Short-Term Memory (LSTM) for generation
- Attention mechanism
- Transformers